

# Homework 3

## Quantum Mechanics

Christian von Schultz

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### 3.1 Two-dimensional harmonic oscillator

“Consider a particle in two-dimensional harmonic potential, so the Hamiltonian is given by

$$H = \frac{1}{2}k(x^2 + y^2) + \frac{1}{2m}(p_x^2 + p_y^2)$$

- Write this as two independent operators using creation and annihilation [*sic*] operators in the two axes  $x$  and  $y$ .
- Explain in a sentence or two why how [*sic*] the Hilbert space is in fact a tensor product
- Write down a formula for the energy spectrum.

Now express the *angular momentum operator*  $L$  corresponding to angular momentum around the z-axis in terms of the creation and annihilation operators  $a_x$  and  $a_y$  and their complex conjugate; how would this angular momentum operator generalize to three dimensions?

- show explicitly that  $L$  and  $H$  commute in this representation
- Compute explicitly the eigenvalues and eigenvectors in the harmonic oscillator for the six lowest energy eigenstates.
- Using your knowledge of the ground state of the single harmonic oscillator, write down  $\langle r|\psi\rangle$  where  $|\psi\rangle$  is the lowest energy eigenstate with angular momentum 1 and  $|r\rangle$  represents the eigenket of the position operator.

This problem can fairly simply be generalized to three dimensions when you can find simultaneous eigenvalues of  $H$ ,  $L^2$  and  $L_z$  but is sufficiently messy that I won't ask you to work it out.”

**Two independent operators.** We can write  $H$  as  $H = H_x + H_y$ , where

$$H_x = \frac{1}{2}kx^2 + \frac{1}{2m}p_x^2; \quad H_y = \frac{1}{2}ky^2 + \frac{1}{2m}p_y^2$$

We define  $\omega$  as  $\omega = \sqrt{k/m}$ , and replace  $k$  above with  $m\omega^2$ . Then we define the annihilation operators  $a_x$  and  $a_y$  as

$$a_x = \sqrt{\frac{m\omega}{2\hbar}} \left( x + \frac{ip_x}{m\omega} \right); \quad a_x^\dagger = \sqrt{\frac{m\omega}{2\hbar}} \left( x - \frac{ip_x}{m\omega} \right)$$

where  $a_x^\dagger$  is called the creation operator.  $a_y$  and  $a_y^\dagger$  are defined analogously.

Now the operators take the form

$$H_x = \hbar\omega(a_x^\dagger a_x - \frac{1}{2}), \quad H_y = \hbar\omega(a_y^\dagger a_y - \frac{1}{2}),$$

$$H = \hbar\omega(a_x^\dagger a_x - \frac{1}{2}) + \hbar\omega(a_y^\dagger a_y - \frac{1}{2}).$$

**A tensor product.** When the Hamiltonian can be divided into two parts,  $H = H_x + H_y$  as above, we can solve the problems corresponding to  $H_1$  and  $H_2$  separately. The solutions of  $H_1$  will be in a Hilbert space with kets  $|\psi_1\rangle$ , and the solutions of  $H_2$  will be in a Hilbert space with kets  $|\psi_2\rangle$ . The set of solutions  $|\psi\rangle$  of the entire problem with Hamiltonian  $H$  will then be spanned by  $|\psi_1\rangle \otimes |\psi_2\rangle$ , the tensor product between the two. That's *how* it is. But we were also asked *why* this is. This is seen the easiest if we study a state  $|\psi\rangle$  that is a direct tensor product of eigenstates (one can of course have linear combinations, too). But this way we see why it is reasonable:

$$\begin{aligned} H|\psi\rangle &= H_1(|\psi_1\rangle \otimes |\psi_2\rangle) + H_2(|\psi_1\rangle \otimes |\psi_2\rangle) = \\ &= (H_1|\psi_1\rangle) \otimes |\psi_2\rangle + |\psi_1\rangle \otimes (H_2|\psi_2\rangle) = E_1|\psi_1\rangle \otimes |\psi_2\rangle + E_2|\psi_1\rangle \otimes |\psi_2\rangle = \\ &= (E_1 + E_2)|\psi\rangle \end{aligned}$$

This is what we would expect on physical grounds, and the tensor product fulfils our expectations.

**A formula for the energy spectrum.** Let  $|n\rangle \otimes |m\rangle = |n,m\rangle$  be the state where the  $x$  oscillator is in eigenstate  $|n\rangle_x$  and the  $y$  oscillator in the state  $|m\rangle_y$ . With  $H_x|n\rangle_x = E_n|n\rangle_x$  where  $E_n = \hbar\omega(n + \frac{1}{2})$  (and analogously for  $y$ ), we have

$$H|n,m\rangle = E_{n,m}|n,m\rangle = (E_n + E_m)|n,m\rangle$$

and thus  $E_{n,m} = \hbar\omega(n+m+1)$  is the energy spectrum of the two-dimensional harmonic oscillator.

**The angular momentum** around the  $z$  axis is given by

$$L = (\mathbf{r} \times \mathbf{p})_z = xp_y - yp_x.$$

(There is no ambiguity in the order, when taking the classical expression and making operators of it.  $x$  commutes with  $p_y$ , and  $y$  commutes with  $p_x$ . In three dimensions we would get an  $L_x$ , an  $L_y$  and an  $L_z$  as the components of  $\mathbf{r} \times \mathbf{p}$ .)

Now, we use

$$x = \sqrt{\frac{\hbar}{2m\omega}} (a_x + a_x^\dagger), \quad p_x = i\sqrt{\frac{\hbar m\omega}{2}} (-a_x + a_x^\dagger)$$

and similarly for  $y$  and  $p_y$ . This gives us

$$\begin{aligned} xp_y &= \frac{i\hbar}{2} (a_x + a_x^\dagger) (-a_y + a_y^\dagger) = \frac{i\hbar}{2} (-a_x a_y + a_x a_y^\dagger - a_x^\dagger a_y + a_x^\dagger a_y^\dagger) \\ yp_x &= \frac{i\hbar}{2} (-a_y a_x + a_y a_x^\dagger - a_y^\dagger a_x + a_y^\dagger a_x^\dagger) \end{aligned}$$

The  $a_x$  and the  $a_y$  commute, so we get  $L = i\hbar (a_x a_y^\dagger - a_x^\dagger a_y)$ .

Now we should show that  $L$  and  $H$  commute:

$$\begin{aligned} [L, H] &= [L, H_x + H_y] = [L, H_x] + [L, H_y] = \\ &= i\hbar (a_y^\dagger [a_x, H_x] - a_y [a_x^\dagger, H_x]) + i\hbar (a_x [a_y^\dagger, H_y] - a_x^\dagger [a_y, H_y]) . \\ [a_x, H_x] &= [a_x, \hbar\omega(a_x^\dagger a_x + \frac{1}{2})] = \hbar\omega[a_x, a_x^\dagger]a_x + \hbar\omega a_x^\dagger [a_x, a_x] = \hbar\omega a_x \\ [a_x^\dagger, H_x] &= \hbar\omega[a_x^\dagger, a_x^\dagger]a_x + \hbar\omega a_x^\dagger [a_x^\dagger, a_x] = -\hbar\omega a_x^\dagger \\ \implies [L, H] &= i\hbar^2\omega a_y^\dagger a_x + i\hbar^2\omega a_y a_x^\dagger - i\hbar^2\omega a_x a_y^\dagger - i\hbar^2\omega a_x^\dagger a_y = 0 \end{aligned}$$

as desired.

**The six lowest energy states.** They are:

$$|n, m\rangle \in \{|0,0\rangle, |1,0\rangle, |0,1\rangle, |1,1\rangle, |1,2\rangle, |2,1\rangle\}$$

That's the eigenvectors. The eigenvalues are  $\hbar\omega, 2\hbar\omega, 2\hbar\omega, 3\hbar\omega, 4\hbar\omega$  and  $4\hbar\omega$ , respectively, according to the above energy formula.

**The lowest energy eigenstate with angular momentum 1** is the state  $|\psi\rangle$  so that  $L|\psi\rangle = \hbar \cdot 1 |\psi\rangle$ . We see that  $L|0,0\rangle = 0$ , so we take the next higher energy states:

$$\begin{aligned} L|n,m\rangle &= i\hbar\sqrt{n}\sqrt{m+1}|n-1,m+1\rangle - \sqrt{n+1}\sqrt{m}|n+1,m-1\rangle \\ L|1,0\rangle &= i\hbar|0,1\rangle \\ L|0,1\rangle &= -i\hbar|1,0\rangle \end{aligned}$$

A linear combination of these will do:

$$L(\alpha|1,0\rangle + \beta|0,1\rangle) = i\hbar(-\beta|1,0\rangle + \alpha|0,1\rangle) = \hbar(-i\beta|1,0\rangle + i\alpha|0,1\rangle)$$

This is an eigenstate if  $\alpha = -i\beta$  and  $\beta = i\alpha$ . We can take  $\alpha = 1/\sqrt{2}$ :

$$|\psi\rangle = \frac{1}{\sqrt{2}}|1,0\rangle + \frac{i}{\sqrt{2}}|0,1\rangle$$

Next, we are asked to find  $\langle r|\psi\rangle$ , where  $|r\rangle = |x\rangle \otimes |y\rangle$ .

$$\langle r|\psi\rangle = \frac{1}{\sqrt{2}}\langle x|1\rangle_x \langle y|0\rangle_y + \frac{i}{\sqrt{2}}\langle x|0\rangle_x \langle y|1\rangle_y$$

For a harmonic oscillator, we have in general

$$\langle x|0\rangle = \left(\frac{1}{\pi^{1/4}\sqrt{x_0}}\right) \exp\left[-\frac{1}{2}\left(\frac{x}{x_0}\right)^2\right]$$

where  $x_0 = \sqrt{\hbar/m\omega}$ . We have

$$\begin{aligned} \langle x|1\rangle &= \frac{1}{\sqrt{2}x_0} \left(x - x_0^2 \frac{d}{dx}\right) \langle x|0\rangle = \sqrt{2} \frac{x}{x_0} \langle x|0\rangle \\ \langle r|\psi\rangle &= \frac{1}{\sqrt{2}} \sqrt{2} \frac{x}{x_0} \langle x|0\rangle_x \langle y|0\rangle_y + \frac{i}{\sqrt{2}} \sqrt{2} \frac{y}{x_0} \langle x|0\rangle_x \langle y|0\rangle_y = \\ &= \left\{ \frac{x}{x_0^2 \sqrt{\pi}} + \frac{iy}{x_0^2 \sqrt{\pi}} \right\} \exp\left[-\frac{1}{2} \frac{(x^2 + y^2)}{x_0^2}\right] \end{aligned}$$

So the answer is

$$\boxed{\langle r|\psi\rangle = \frac{m\omega(x + iy)}{\hbar\sqrt{\pi}} \exp\left[-\frac{m\omega(x^2 + y^2)}{2\hbar}\right]}$$

## 3.2 Sakurai 2.11

“Consider a particle subject to a one-dimensional simple harmonic oscillator potential. Suppose at  $t = 0$  the state vector is given by

$$\exp\left(\frac{-ipa}{\hbar}\right)|0\rangle,$$

where  $p$  is the momentum operator and  $a$  is some number with dimension of length. Using the Heisenberg picture, evaluate the expectation value  $\langle x \rangle$  for  $t \geq 0$ .” (Sakurai, problem 2.11, page 145.)

First, what do we expect? We recognise the exponential above to be exactly the translation operator  $\mathcal{T}$  of Sakurai’s equation (1.6.36). It produces a spatial translation of the state  $|0\rangle$  by a length  $a$  in the positive  $x$  direction. What does that give us? Sakurai calls it a *coherent state* (section 2.3, page 97) — a superposition of energy eigenstates that closely imitates the classical oscillator; a wave packet that bounces back and forth without spreading in shape.

The operator  $x(t)$  is given by Sakurai’s equation (2.3.45a):

$$x(t) = x(0) \cos \omega t + \frac{p(0)}{m\omega} \sin \omega t.$$

The state  $|\psi\rangle$  we are studying can be written

$$|\psi\rangle = \exp\left(\frac{-ip(0)a}{\hbar}\right)|0\rangle,$$

where  $|0\rangle$  is understood to be the state ket of the system ground state at time  $t = 0$ . (The base kets  $|n\rangle$  would be moving in the Heisenberg picture, but the state ket  $|\psi\rangle$  remains stationary.)

I will also be using Sakurai’s equations (2.3.25a) and (2.3.25b) in order to say

$$\langle 0|x(0)|0\rangle = \langle 0|p(0)|0\rangle = 0$$

which can be easily proved by writing the operators in terms of creation and annihilation operators; and Sakurai’s equation (2.2.23a):

$$[x, F(p)] = i\hbar \frac{\partial F}{\partial p}.$$

I do hope it is permissible that I take equations from Sakurai without proving them first; in any case, the proof of this latter equation is similar to the one we did in last week’s homework.

$$\begin{aligned}
\langle x \rangle &= \langle \psi | x(t) | \psi \rangle = \langle \psi | x(0) \cos \omega t | \psi \rangle + \langle \psi | \frac{p(0)}{m\omega} \sin \omega t | \psi \rangle = \\
&= \langle 0 | \exp\left(\frac{+ip(0)a}{\hbar}\right) x(0) \exp\left(\frac{-ip(0)a}{\hbar}\right) | 0 \rangle \cos \omega t + \\
&\quad + \langle 0 | \exp\left(\frac{+ip(0)a}{\hbar}\right) p(0) \exp\left(\frac{-ip(0)a}{\hbar}\right) | 0 \rangle \frac{\sin \omega t}{m\omega}
\end{aligned}$$

Now, since  $p(0)$  commutes with a function of itself, we have

$$\begin{aligned}
&\exp\left(\frac{+ip(0)a}{\hbar}\right) p(0) \exp\left(\frac{-ip(0)a}{\hbar}\right) = \\
&= \exp\left(\frac{+ip(0)a}{\hbar}\right) \exp\left(\frac{-ip(0)a}{\hbar}\right) p(0) = p(0).
\end{aligned}$$

If I may use  $e^+$  and  $e^-$  to refer to the exponentials above, we have for  $x(0)$ :

$$\begin{aligned}
e^+ x(0) e^- &= e^+ e^- x(0) + e^+ [x(0), e^-] = x(0) + e^+ \left( i\hbar \frac{\partial e^-}{\partial p(0)} \right) = \\
&= x(0) + e^+ \left( i\hbar \left( -\frac{ia}{\hbar} \right) e^- \right) = x(0) + a
\end{aligned}$$

which we of course already knew, having identified  $e^-$  as the translation operator  $\mathcal{T}$  at the outset.

This leaves us with

$$\langle x \rangle = \langle 0 | x(0) | 0 \rangle \cos \omega t + \langle 0 | 0 \rangle a \cos \omega t + \langle 0 | p(0) | 0 \rangle \frac{\sin \omega t}{m\omega} = a \cos \omega t.$$

Thus, the answer is  $\langle x \rangle = a \cos \omega t$ , a solution that closely imitates the classical oscillator, just as we expected.

### 3.3 Sakurai 2.4

“Let  $x(t)$  be the coordinate operator for a free particle in one dimension in the Heisenberg picture. Evaluate  $[x(t), x(0)]$ .”

(Remark: Revision 2 of the homework still talks about the state  $|0\rangle$ . Since a free particle does not have a ground state, I’m going to assume that  $|0\rangle$  has been left over from the old version, where problem 3.3 still talked about Sakurai 2.15. I will

do, as has been stated clearly on the homepage and at a lecture, Sakurai 2.4, and do it as it was written in Sakurai — without the  $|0\rangle$ .)

To this end, we will need the time evolution operator of Sakurai (2.2.9):

$$\mathcal{U}(t) = \exp\left(\frac{-iHt}{\hbar}\right)$$

where  $H$  is the Hamiltonian operator and  $t$  is the time. We will also need the commutation relation of Sakurai (2.2.23a):

$$[x, F(p)] = i\hbar \frac{\partial F}{\partial p}$$

In the Heisenberg picture operators, such as  $x(t)$ , evolve according to the equation  $x(t) = \mathcal{U}^\dagger(t)x(0)\mathcal{U}(t)$ . For the commutator we then have

$$[x(t), x(0)] = x(t)x(0) - x(0)x(t) = \mathcal{U}^\dagger(t)x(0)\mathcal{U}(t)x(0) - x(0)\mathcal{U}^\dagger(t)x(0)\mathcal{U}(t).$$

To simplify our notation a bit, let's drop the arguments of these operators, and let it be understood that  $\mathcal{U}$  is to be evaluated at time  $t$ , and  $x$  at time 0.

$$[x(t), x(0)] = \mathcal{U}^\dagger x \mathcal{U} x - x \mathcal{U}^\dagger x \mathcal{U} = \mathcal{U}^\dagger \mathcal{U} x^2 + \mathcal{U}^\dagger [x, \mathcal{U}] x - x \mathcal{U}^\dagger \mathcal{U} x - x \mathcal{U}^\dagger [x, \mathcal{U}].$$

We know, of course, that  $\mathcal{U}^\dagger \mathcal{U} = 1$ , so now we only need the commutator  $[x, \mathcal{U}]$  and we will have the answer  $[x(t), x(0)] = \mathcal{U}^\dagger [x, \mathcal{U}] x - x \mathcal{U}^\dagger [x, \mathcal{U}]$ . In order to do this we need  $\mathcal{U}$ , and for that we need the Hamiltonian for the free particle:

$$H = \frac{p^2}{2m},$$

where  $p$  is the momentum operator. (Which is a constant of the motion, because it commutes with  $H$ .)

$$\begin{aligned} [x, \mathcal{U}] &= \left[ x, \exp\left(\frac{-iHt}{\hbar}\right) \right] = \left[ x, \exp\left(\frac{-ip^2t}{2m\hbar}\right) \right] = \\ &= i\hbar \frac{\partial}{\partial p} \exp\left(\frac{-ip^2t}{2m\hbar}\right) = \frac{p}{m} t \mathcal{U} \end{aligned}$$

Do note that  $\mathcal{U}$ , being a function of  $p$ , commutes with  $p$ . That gives us

$$\begin{aligned} [x(t), x(0)] &= \mathcal{U}^\dagger \mathcal{U} \frac{p}{m} t x - x \mathcal{U}^\dagger \mathcal{U} \frac{p}{m} t x = \frac{p}{m} t x - x \frac{p}{m} t = \\ &= [p, x(0)] \frac{t}{m} = \frac{-i\hbar t}{m} \end{aligned}$$

So the answer is  $[x(t), x(0)] = \hbar t/mi$ , where  $t$  is the time and  $m$  the mass of the free particle. It would perhaps be easier to show this by following the procedure in the book, writing down equations (2.2.26), (2.2.27) and (2.2.29) — or even quote the book's equation (2.2.29) directly. But I thought that would be *too* easy, that it was probably expected that we do a bit more than just look up the right equation in the book, so I have presented an alternative derivation of (2.2.29).

### 3.4 Galilean transformations

“Let the unitary operator  $\mathcal{G}$  be given by  $\mathcal{G} = e^{iv(mx-pt)/\hbar}$  where  $v$  is a constant and  $x$  and  $p$  are operators. Show that  $G$  generates the galilean transformation  $x \rightarrow x - vt$  and compute the result of transforming  $p$  with  $G$ .”

I will be assuming that  $G = \mathcal{G}$ .

The transformation is given by  $x' = \mathcal{G}^\dagger x \mathcal{G}$ . (We are, of course, using the Heisenberg picture; otherwise we would have  $x \rightarrow x$  and a change of the state kets, instead of  $x \rightarrow x - vt$  as stated in the problem text.) This means that we can use the formula

$$e^X Y e^{-X} = Y + [X, Y] + \frac{1}{2!} [X, [X, Y]] + \dots$$

which holds for any operators  $X$  and  $Y$ . In our specific case we have

$$\begin{aligned} \mathcal{G}^\dagger x \mathcal{G} &= \exp\left(-\frac{iv}{\hbar}(mx - pt)\right) x \exp\left(\frac{iv}{\hbar}(mx - pt)\right) = \\ &= x + \left[-\frac{iv}{\hbar}(mx - pt), x\right] + \frac{1}{2!} \left[-\frac{iv}{\hbar}(mx - pt), \left[-\frac{iv}{\hbar}(mx - pt), x\right]\right] + \dots \end{aligned}$$

Since  $[x, x] = 0$  and  $[p, x] = -i\hbar$ , we have

$$\left[-\frac{iv}{\hbar}(mx - pt), x\right] = \frac{ivt}{\hbar} [p, x] = vt$$

and since this is a scalar, it commutes with everything, and the series terminates at  $\mathcal{G}^\dagger x \mathcal{G} = x + vt$ . It would seem that one of us has made a sign error.

$$\mathcal{G}^\dagger p \mathcal{G} = p + \left[-\frac{iv}{\hbar}(mx - pt), p\right] + \frac{1}{2!} \left[-\frac{iv}{\hbar}(mx - pt), [\dots, p]\right] + \dots$$



$$\left[ -\frac{iv}{\hbar}(mx - pt), p \right] = -\frac{ivm}{\hbar} [x, p] = vm$$

This is a scalar, and so commutes with everything.  $\mathcal{G}^\dagger p \mathcal{G} = p + vm$ .