

Chapter 2: Representations & characters

DEFINITION: *Matrices* satisfying the multiplication table of a group G are called a *representation*, often denoted $\Gamma(R)$ for $R \in G$.

EXAMPLE: The group $\{1, -1\}$ is a representation of D_3 :

$$\begin{aligned} E, D, F &\rightarrow 1 \\ A, B, C &\rightarrow -1 \end{aligned}$$

This is an *unfaithful* representation (i.e., not one-to-one).

DEFINITION: Satisfying the multiplication table means

$$G \ni A_i \rightarrow \Gamma(A_i) \quad \text{then} \quad A_i A_j = A_k \Rightarrow \Gamma(A_i) \Gamma(A_j) = \Gamma(A_k)$$

DEFINITION: The *dimension of the representation* = the size of the matrices!

Note: A *similarity transformation* just changes the basis used and thus

$$\begin{cases} \Gamma'_i = s^{-1} \Gamma_i s \\ \Gamma_i \Gamma_j = \Gamma_k \end{cases}$$

$$\Rightarrow \Gamma'_i \Gamma'_j = s^{-1} \Gamma_i s s^{-1} \Gamma_j s = s^{-1} \Gamma_i \Gamma_j s = s^{-1} \Gamma_k s = \Gamma'_k$$

Matrices related by similarity transformations are called *equivalent representations*.

Note: If $\Gamma^{(1)}$ and $\Gamma^{(2)}$ are two (in)equivalent representations, then

$$\Gamma = \begin{pmatrix} \Gamma^{(1)} & 0 \\ 0 & \Gamma^{(2)} \end{pmatrix}$$

is also a representation (non-equivalent to $\Gamma^{(1)}$ and $\Gamma^{(2)}$). But one might get this representation in a form (after an arbitrary similarity transformation) where this block structure is not obvious.

DEFINITION: If a representation can be put in block form by a suitable choice of basis then the representation is *reducible*, otherwise *irreducible*.

Question: How can we tell if a representation is irreducible or not?

To find the similarity transformation might be difficult in general (if it exists).

However, the problem will be rather easy if we can find *all irreducible representations*.

First: Can we express information about a representation that is independent of basis (i.e., is the same for all equivalent representations)?

The answer is the *character*.

Recall examples of representations of D_3 :

1. $\forall A_i \rightarrow 1$
2. $E, D, F \rightarrow 1. \quad A, B, C \rightarrow -1.$

3. 2-dimensional matrix representation given in a previous lecture (faithful).

In fact there is another very natural representation of $D_3 = S_3$ (permutation group) in terms of 3×3 matrices.

$$E = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \quad A = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 \rightarrow 2 \\ 2 \rightarrow 1 \\ 3 \rightarrow 3 \end{pmatrix}$$

$$B = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix}, \quad C = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$$

Note: The alternative group $A_3 \subset D_3$ is defined as the set of 3×3 matrices of determinant = +1.

DEFINITION: Character of representation $\Gamma^{(i)}$:

$$\chi^{(i)} = \{\chi^{(i)}(E), \chi^{(i)}(A_1), \dots, \chi^{(i)}(A_g)\}$$

($g = |G|$) where each

$$\chi^{(i)}(A_j) = \text{Tr} \Gamma^{(i)}(A_j) = \sum_{\mu=1}^{l_i} \left(\Gamma^{(i)}(A_j) \right)_{\mu\mu}$$

where l_i is the dimension of the representation.

Note: $\text{Tr}(s^{-1}\Gamma s) = \text{Tr}(\Gamma)$.

Consider now the *character table* from the previous representation (1), (2), (3).

	\mathcal{C}_1	$3\mathcal{C}_2$	$2\mathcal{C}_3$	
$\Gamma^{(1)}$	1	1	1	$\mathcal{C}_1 = \{E\}$
$\Gamma^{(2)}$	1	-1	1	$\mathcal{C}_2 = \{A, B, C\}$
$\Gamma^{(3)}$	2	0	-1	$\mathcal{C}_3 = \{D, F\}$

Note: Two funny properties of this table:

1. The columns are perpendicular to each other.
2. The rows are perpendicular if using the number of elements as a metric.

This is a general feature that can be proved using the *orthogonality theorem*.

To prove this theorem we first need two lemmas:

Lemma 1: Any matrix representation with determinant $\neq 0$ is equivalent to a *unitary* representation.

Proof. Consider any representation $A_i \in G$ (writing A_i instead of $\Gamma(A_i)$ just to speed up writing) then

$$H = \sum_{i=1}^g A_i A_i^\dagger = H^\dagger$$

(i.e. H is hermitian). Any hermitian matrix can be diagonalised by a unitary matrix.

$$\exists U \text{ such that } d = U^{-1} H U$$

where d is diagonal and all d_{ii} are real and *positive*. (This is an exercise.) Write d in terms of $A'_i = U^{-1}A_iU$.

$$\Rightarrow \mathbf{1} = d^{-1/2} \sum_i A'_i A_i{}^\dagger d^{-1/2}$$

$$A'_i = U^{-1}A_iU$$

and hence

$$A'_j = d^{-1/2} A'_j d^{1/2}$$

is unitary.

Check:

$$\begin{aligned} A''_j (A''_j)^\dagger &= d^{-1/2} A'_j d^{1/2} d^{1/2} (A'_j)^\dagger d^{-1/2} = \\ &= d^{-1/2} A'_j d^{1/2} \left(d^{-1/2} \sum_i A'_i A_i{}^\dagger d^{-1/2} \right) d^{1/2} (A'_j)^\dagger d^{-1/2} = \\ &= d^{-1/2} A'_j \sum_i A'_i (A'_i)^\dagger (A'_j)^\dagger d^{-1/2} = d^{-1/2} \sum_i (A'_j A'_i) (A'_j A'_i)^\dagger d^{-1/2} = \end{aligned}$$

(Rearrangement theorem)

$$= d^{-1/2} \sum_k A'_k (A'_k)^\dagger d^{-1/2} = \mathbf{1}. \quad \square$$

Lemma 2: Schur's lemma.

A matrix which commutes with all matrices in an *irreducible* representation is constant (proportional to the identity matrix).

Proof. From previous lemma about the unitarity, we may always consider a unitary representation. Then let M be a matrix such that $MA_i = A_i M \quad \forall A_i \in G$. Take dagger on this:

$$A_i{}^\dagger M^\dagger = M^\dagger A_i{}^\dagger$$

$A_i{}^\dagger = A_i^{-1}$. Take $A_i(\dots)A_i \Rightarrow$

$$M^\dagger A_i = A_i M^\dagger$$

But then the two hermitian matrices:

$$H_1 = M + M^\dagger, \quad H_2 = i(M - M^\dagger)$$

also commute with $\forall A_i$. Next we show that such matrices are constant.

Since H is hermitian there is a unitary matrix U such that

$$\begin{aligned} d &= U^{-1} H U \\ \Rightarrow A'_i d &= d A'_i \end{aligned}$$

where $A'_i = U^{-1}A_iU$. (Check).

In components this reads

$$\begin{aligned} (A'_i)_{\mu\nu} d_{\nu\nu} &= d_{\mu\mu} (A'_i)_{\mu\nu} \\ \Rightarrow (A'_i)_{\mu\nu} (d_{\nu\nu} - d_{\mu\mu}) &= 0 \quad \forall A_i \end{aligned}$$

So if $d_{\nu\nu} \neq d_{\mu\mu}$ then $(A'_i)_{\mu\nu} = 0 \forall i$, i.e. A' is in block form (i.e. reducible), and if the representation is irreducible then $d_{\nu\nu} = d_{\mu\mu} \Rightarrow d \propto \mathbf{1}$. \square

EXAMPLE: Suppose

$$d = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 2 & 0 & 0 \\ 0 & 0 & 0 & 0 & 2 & 0 \\ 0 & 0 & 0 & 0 & 0 & 2 \end{pmatrix}$$

i.e. $d_{11} = d_{22} = d_{33} \neq d_{44} = d_{55} = d_{66}$

$$\Rightarrow \forall A_i \quad A'_i = \begin{pmatrix} \times & \times & \times & 0 & 0 & 0 \\ \times & \times & \times & 0 & 0 & 0 \\ \times & \times & \times & 0 & 0 & 0 \\ 0 & 0 & 0 & \times & \times & \times \\ 0 & 0 & 0 & \times & \times & \times \\ 0 & 0 & 0 & \times & \times & \times \end{pmatrix}$$

Lemma 3:

If M exists such that for two irreducible representations $\Gamma^{(i)}$ and $\Gamma^{(j)}$ of dimension l_i and l_j and

$$M\Gamma^{(i)}(A_k) = \Gamma^{(j)}(A_k)M \quad \forall A_k \in G$$

then (1) if $l_i \neq l_j$ then $M = 0$, i.e. $\Gamma^{(i)}$ and $\Gamma^{(j)}$ are different irreducible representations.

(2) If $l_i = l_j$ then $M \neq 0$ and $\Gamma^{(i)}$ and $\Gamma^{(j)}$ are inequivalent representations or $\det(M) \neq 0$ ($\Gamma^{(i)}$ and $\Gamma^{(j)}$ are equivalent).

Proof. Similar to the proofs above. \square

THEOREM: The Great Orthogonality theorem.

Consider *all inequivalent irreducible* unitary representations of G denoted $\Gamma^{(i)}(R)$, then:

$$\sum_{R \in G} \left(\Gamma^{(i)}(R) \right)_{\mu\nu}^* \left(\Gamma^{(j)}(R) \right)_{\alpha\beta} = \frac{g}{l_i} \delta_{ij} \delta_{\mu\alpha} \delta_{\nu\beta}$$

Proof.

First step: Consider two inequivalent representations $\Gamma^{(1)}$ and $\Gamma^{(2)}$. Then

$$M \equiv \sum_R \Gamma^{(2)}(R) X \Gamma^{(1)}(R^{-1})$$

where X is an arbitrary matrix. It satisfies

$$M \Gamma^{(1)} = \Gamma^{(2)} M$$

Check:

$$\begin{aligned}\Gamma^{(2)}(S) M &= \sum_R \underbrace{\Gamma^{(2)}(S) \Gamma^{(2)}(R)}_{=\Gamma^{(2)}(SR)} X \Gamma^{(1)}(R^{-1}) \Gamma^{(1)}(S^{-1}) \Gamma^{(1)}(S) = \\ &= \sum_R \Gamma^{(2)}(SR) X \Gamma^{(1)}\left((SR)^{-1}\right) \Gamma^{(1)}(S) =\end{aligned}$$

(Rearrangement theorem)

$$= \sum_R \Gamma^{(2)}(R) X \Gamma^{(1)}(R^{-1}) \Gamma^{(1)}(S) = M \Gamma^{(1)}(S).$$

This is true for any matrix X , in the definition of M .

But since we assume that $\Gamma^{(1)}$ and $\Gamma^{(2)}$ are inequivalent $M = 0$ (by the previous lemma).

$$M_{\alpha\mu} = 0 = \sum_R \sum_{\beta, \bar{\nu}} \left(\Gamma^{(2)}(R) \right)_{\alpha\beta} X_{\beta\bar{\nu}} \left(\Gamma^{(1)}(R^{-1}) \right)_{\bar{\nu}\mu}.$$

Now pick $X_{\beta\bar{\nu}} = 0$ except for $X_{\beta\nu} = 1$.

$$\Rightarrow \sum_R \left(\Gamma^{(2)}(R) \right)_{\alpha\beta} \left(\Gamma^{(1)}(R^{-1}) \right)_{\nu\mu} = 0.$$

By unitarity this reads

$$\sum_R \left(\Gamma^{(2)}(R) \right)_{\alpha\beta} \left(\Gamma^{(1)}(R) \right)_{\mu\nu}^* = 0.$$

This implies δ^{ij} on the right hand side of the Great Theorem.

Second step: Choose now $\Gamma^{(1)}$ and $\Gamma^{(2)}$ as equivalent representations:

$$M = \sum_R \Gamma(R) X \Gamma(R^{-1})$$

It can be checked that it commutes with all A_i in G . But then by Schur's lemma $M = c \mathbf{1}$ for some constant c .

$$\Rightarrow c \delta_{\mu\mu'} = \sum_R \sum_{\bar{\nu}, \bar{\rho}} \left(\Gamma(R) \right)_{\mu, \bar{\nu}} X_{\bar{\nu}\bar{\rho}} \left(\Gamma(R^{-1}) \right)_{\bar{\rho}\mu'}$$

Then set $X = 0$ except $X_{\nu\rho} = 1$.

$$c_{\nu\rho} \delta_{\mu\mu'} = \sum_R \left(\Gamma(R) \right)_{\mu\nu} \left(\Gamma(R^{-1}) \right)_{\rho\mu'}$$

The c constant depends on the choice of X . To get $c_{\nu\rho}$ we sum over $\mu = \mu'$:

$$\begin{aligned}c_{\nu\rho} \underbrace{\sum_{\mu} \delta_{\mu\mu'}}_l &= \sum_R \underbrace{\sum_{\mu} \left(\Gamma(R^{-1}) \right)_{\rho\mu} \left(\Gamma(R) \right)_{\mu\nu}}_{=\left(\Gamma(R^{-1}R) \right)_{\rho\nu}} = \sum_R \mathbf{1}_{\rho\nu} = g \mathbf{1}_{\rho\nu} \\ &\Rightarrow c_{\nu\rho} = \frac{g}{l} \delta_{\nu\rho}\end{aligned}$$

Unitarity:

So

$$\sum_R (\Gamma(R))_{\mu'\nu'}^* (\Gamma(R))_{\mu\nu} = \frac{g}{l} \delta_{\mu\mu'} \delta_{\nu\nu'}$$

Combining the two steps the theorem is proved. \square

Implications of the great theorem.

1. Viewing the numbers $(\Gamma^{(i)}(R))_{\mu\nu}$ as components of a set of vectors in a $g = |G|$ -dimensional space and i, μ, ν enumerate the different vectors.

$$\Rightarrow \sum_i (l_i)^2 \leq g$$

since the left hand side is $\sum_{i, \mu, \nu} =$ all g -dimensional vectors, which are all orthogonal to each other by the Great Theorem. In fact we will later show that the equality is true:

$$\sum_i (l_i)^2 = g$$

EXAMPLE: $|D_3| = 6 = 1^2 + 1^2 + 2^2$ is the unique solution as we will see below.

2. Orthogonality for characters:

a. Set $\mu = \nu$ ($\chi\Gamma^{(i)}$) and $\alpha = \beta$ ($\chi\Gamma^{(j)}$) and $\sum_{\mu, \alpha}$

$$\begin{aligned} \Rightarrow \sum_R \chi^{(i)}(R) \chi^{(j)}(R) &= \sum_{\mu, \alpha} \frac{g}{l_i} \delta_{ij} \underbrace{\delta_{\mu\alpha} \delta_{\mu\alpha}}_{=\delta_{\mu\mu} \rightarrow l_i} = g \delta_{ij} \\ \Rightarrow \sum_k \chi^{(i)}(\mathcal{C}_k) \chi^{(j)}(\mathcal{C}_k) N_k &= g \delta_{ij} \end{aligned}$$

where N is the number of elements in \mathcal{C}_k .

- 1) i.e. the rows in the character table are orthogonal.
- 2) Number of irreducible representations = number of classes.

$$|D_3| = 6 = 1^2 + 1^2 + 2^2$$

- b. Form the square matrix

$$Q = \begin{pmatrix} \chi^{(1)}(\mathcal{C}_1) & \chi^{(1)}(\mathcal{C}_2) & \cdots \\ \chi^{(2)}(\mathcal{C}_1) & \cdots & \\ \vdots & & \end{pmatrix}$$

and consider

$$Q' = \frac{1}{g} \begin{pmatrix} \chi^{(1)}(\mathcal{C}_1)^* N_1 & \chi^{(2)}(\mathcal{C}_1)^* N_1 & \cdots \\ \chi^{(1)}(\mathcal{C}_2)^* N_2 & & \\ \vdots & & \end{pmatrix}$$

Can check

$$\begin{aligned} (Q Q')_{ij} &= \sum_k \frac{\chi^{(i)}(\mathcal{C}_k) \chi^{(j)}(\mathcal{C}_k)^* N_k}{g} = \delta_{ij} \\ &\Rightarrow Q' = Q^{-1} \\ &\Rightarrow (Q' Q)_{ij} = \delta_{ij} \\ &\Rightarrow \sum_i \chi^{(i)}(\mathcal{C}_k)^* \chi^{(i)}(\mathcal{C}_l) = \frac{g}{N_k} \delta_{kl} \end{aligned}$$

i.e. the columns in the character table are orthogonal.